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April 3, 2017

ADVICE LETTER NO. 1163

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. MO – 1-SF (continued) Monterey County District Tariff Area GENERAL METERED SERVICE SINGLE FAMILY RESIDENTIAL CUSTOMERS	8472-W
DELETE	Schedule No. MO – 1 (continued) Monterey County District Tariff Area GENERAL METERED SERVICE RESIDENTIAL AND MULTI-RESIDENTIAL CUSTOMERS	8399-W
XXXX-W	Schedule No. MO – 1-MF (continued) Monterey County District Tariff Area GENERAL METERED SERVICE MULTI-FAMILY RESIDENTIAL CUSTOMERS	8474-W
XXXX-W	Schedule No. MO - 1 C (continued) Monterey County District Tariff Area GENERAL METERED SERVICE NON-RESIDENTIAL CUSTOMERS	8476-W
XXXX-W	Schedule No. MO – 1O (continued) Monterey County District Tariff Area GENERAL METERED SERVICE OTHER CUSTOMERS	8478-W
XXXX-W	TABLE OF CONTENTS (PAGE 2)	XXXX-W
XXXX-W	TABLE OF CONTENTS (PAGE 1)	XXXX-W

PURPOSE

The purpose of this advice letter filing is to request approval of the 2016 WRAM & MCBA balances. This request is made in compliance with authorizations of the California Public

Utilities Commission as detailed in D.09-07-021, D.12-04-048, D.13-07-041, and D.16-12-003. Further detail can be found in the request section below.

BACKGROUND

D.09-07-021 originally approved the WRAM & MCBA for Monterey. Certain requirements from the original process were modified in D.13-07-041. Notably, the settlement adopted by the decision agreed to certain procedures outlined D.12-040-048, which Cal-Am was not a party to.

The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. Cal Am will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Additional criteria on the WRAM amortization process were outlined in D.12-04-048 as follows;

Ordering Paragraphs

- 1. We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement...WRAM balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to the surcharge cap.*

Further, D.16-12-003 addressed the recovery of balances through December 31, 2014. The decision authorized a meter based surcharge over five years. This was implemented through the filing of advice letter 1146-A. The decision also modified the recovery method for future surcharges and imposed a new noticing requirement. Ordering paragraph two states,

- 2. Future Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) advice letters filed and served by California-American Water Company (Cal-Am) for the Monterey District shall request recovery of under-collections (or refunds of over-collections) by a uniform surcharge (or surcredit) on each unit of water sold (volumetric rate) including Tier 1. This applies to all*

WRAM/MCBA balances recovered once the new rate design is implemented. Cal-Am shall provide customer notice of each such advice letter consistent with General Order (GO) 96-B. In addition, for each of the next three advice letter requests, Cal-Am shall notify all customers in the Monterey District by bill insert or direct mail of the request even if that notice is not otherwise required by GO 96-B. The Notice shall be approved by the Commission's Public Advisor before it is issued by Cal-Am.

REQUEST

California American Water requests approval of the balances for the WRAM and MCBA, which are summarized in the table below.

Recovery of WRAM/MCBA Balances			
DESCRIPTION	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Balance
Residential			
Current Year Balance	(\$12,117,747)	(\$33,883)	(\$12,151,630)
UAW Penalty/(Reward)			(\$929,940)
Total Residential Balance Requested			(\$13,081,570)
2016 Adopted Revenue			\$38,082,562
2016 Balance % of Revenue			34.4%
Non-Residential			
Current Year Balance	(\$2,523,496)	(\$6,253)	(\$2,529,749)
UAW Penalty/(Reward)			(\$242,246)
Total Non-Residential Balance Requested			(\$2,771,995)
2016 Adopted Revenue			\$15,339,551
2016 Balance % of Revenue			18.1%

Due to the 10% cap on recovery outlined in D.13-07-041, California American Water requests approval of the balance and the associated tariff language but is not requesting an amortization and placement into rates at this time. Under this current guideline, the amortization of the 2016 balance will begin when the existing 2015 surcharge collects its entire balance or upon its expiration on March 30, 2019. After cessation of the 2015 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language with the then current charge. If the 2016 WRAM/MCBA was billed based on the above balances, the current authorized consumption, and the adopted amortization schedule per D.12-04-048 Appendix A, the volumetric surcharges would be \$0.1938 per 100 gallon over 36 months for residential customers and \$0.1313 per 100 gallon for non-residential customers over a 22 month period.

In compliance with D.16-12-003, a notice approved by the Commission's Public Advisor will be issued to customers through a bill insert. The requested effective date of June 1, 2017 will allow adequate time for protests within the normal 20-day period.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of June 1, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

Email Address:
Water.Division@cpuc.ca.gov

Mailing Address:
CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:	E-Mail:	Mailing Address:
Todd Pray <i>Senior Manager of Rates & Regulatory</i>	todd.pray@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4232
Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4232.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray
Senior Manager of Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

Schedule No. MO-1-SF (Continued)
Monterey County District Tariff Area
GENERAL METERED SERVICE
SINGLE FAMILY RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (continued)

Fees & Surcharges:

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The Seaside Basin Adjudication Balancing Account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

Meter Size	Meter Surcharge
For 5 / 8 x 3 / 4 meter	\$0.37
For 3 / 4 meter	\$0.55
For 1 meter	\$0.91
For 1 1 / 2 meter	\$1.83
For 2 meter	\$2.93
For 3 meter	\$5.49
For 4 meter	\$9.15
For 6 meter	\$18.29
For 8 meter	\$29.27

8. Per Advice Letter 1163, the balance of the 2016 residential WRAM/MCBA is \$13,081,570. D.13-07-041 places a cap on WRAM/MCBA surcharges of 10% of the last authorized revenue requirement. Since the existing 2015 surcharge (Special Condition #6) meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted amortization schedule per D.12-04-048 Appendix A, the volumetric surcharges would be \$0.1938 per 100 gallon over 36 months. After cessation of the 2015 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language with the then current charge.

(N)
|
(N)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO. <u>1163</u>	<u>J.T. LINAM</u>	DATE FILED _____
	NAME	EFFECTIVE _____
DECISION NO. <u>D.17-01-013</u>	<u>DIRECTOR - Rates & Regulatory</u>	RESOLUTION _____
	TITLE	

Schedule No. MO-1-MF (Continued)
Monterey County District Tariff Area
GENERAL METERED SERVICE
MULTI-FAMILY RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (continued)

Fees & Surcharges:

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The Seaside Basin Adjudication Balancing Account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

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(N)
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(Continued)

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DECISION NO.	<u>D.17-01-013</u>	<u>DIRECTOR - Rates & Regulatory</u>	RESOLUTION _____
		TITLE	

Schedule No. MO-1C (Continued)
 Monterey County District Tariff Area
GENERAL METERED SERVICE
NON-RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS(Continued):

Fees and Surcharges: (continued):

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, (L)
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 collected through a meter charge over the next ten years. The total amount to be recovered is
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 2015 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language with
 the then current charge.

Non-residential	
Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge per 100 gal
Division 1	\$0.3295
Division 2	\$0.3707
Division 3	\$0.4119
Division 4	\$0.8238

(Continued)

Schedule No. MO-10 (Continued)
 Monterey County District Tariff Area
GENERAL METERED SERVICE
OTHER CUSTOMERS

SPECIAL CONDITIONS (continued)
Fees & Surcharges

7. In accordance with the final decision issued in Monterey General Rate Case Application 08-01-027, costs associated with securing Seaside Basin water rights shall be placed in a balancing account and collected through a meter charge over the next ten years. The total amount to be recovered is \$2,755,960. The Seaside Basin Adjudication Balancing Account and surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills and Ryan Ranch areas and will earn interest at the 90-day commercial rate.

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(N)

Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Systems:	Surcharge Per 100 gal
Misc Construction	\$0.0982
OWU-Permanent	
Block 1	\$0.0982
Block 2	\$0.1964
Block 3	\$0.7857
OWU-Temporary	\$0.0982

(N)

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<u>SUBJECT MATTER OF SHEET</u>		<u>C.P.U.C. SHEET NO.</u>	
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Sacramento- Geyserville		8321-W	
San Diego County		6601-W, 6602-W	
Ventura County		6603-W, 6604-W	
<u>RATE SCHEDULES:</u>			
All Districts -- Low Income Ratepayer Assistance Program			
CA-LIRA	California American Water Low Income Ratepayer Assistance Program	8363-W, 8461-W, 8431-W, 8467-W, 8350-W, 8370-W, 8240-W, 7724-W, 7725-W, 7726-W	
CA-4	California American Water Private Fire Protection Service	8328-W, 8371-W, 7739-W, 7740-W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-W, 7643-W, 7632-W, 7634-W, 8197-W, 8206-W	
CA-4H	Private Fire Hydrant Service	8372-W, 7743-W, 7744-W, 8186-W, 8002-W, 8022-W, 7626-W, 7641-W	
Larkfield District			
LK-1	General Metered Service	8360-W, 8361-W, 8362-W, 7995-W, 8018-W, 7633-W	
LK-F	Facilities Fees	7651-W	
Los Angeles County District			
LA-1	General Metered Service	8344-W, 8345-W, 8346-W, 8311-W, 8347-W, 8250-W, 8016-W, 7630-W	
LA-3M	Measured Irrigation Service	8348-W, 7712, 8349-W, 8251-W, 8017-W, 7631-W	
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MO-1-MF	General Metered Service Multi-Residential Customers	8446-W, 8447-W, 8448-W, 8473-W, 8474-W, 8451-W, 8452-W	(C)
MO-1C	General Metered Service Non-Residential Customers	8453-W, 7673-W, 7674-W, 7675-W, 7676-W, 8454-W, 8475-W, 8476-W, 8227-W, 8401-W, 8410-W, 8411-W, 7683-W	(C)
MO-1O	General Metered Service Other Customers	8455-W, 8456-W, 7686-W, 7687-W, 8477-W, 8478-W, 8457-W, 8403-W, 8413-W, 7692-W	(C)

(Continued)

(TO BE INSERTED BY UTILITY)
ADVICE LETTER NO. 1163

ISSUED BY
J. T. LINAM
NAME

(TO BE INSERTED BY C.P.U.C.)
DATE FILED _____

DECISION NO. _____

DIRECTOR – Rates & Regulatory
TITLE

EFFECTIVE _____
RESOLUTION _____

TABLE OF CONTENTS

(Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	8315-W
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Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 8211-W
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(Continued)

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DECISION NO.

DIRECTOR – Rates & Regulatory
TITLE

EFFECTIVE
RESOLUTION

**MONTEREY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1163**

BOTH

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P.O. Box 1728
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Brent Reitz
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reitzb@pebblebeach.com

John K. Hawks
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jhawks_cwa@comcast.net

EMAIL

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Division of Ratepayer
Advocates
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Richard Rauschmeier
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rra@cpuc.ca.gov

City Clerk
City of Seaside
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George Riley
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hbm@carmellaw.com

**MONTEREY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1163**

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sarah.leeper@amwater.com

Vanessa W. Vallarta
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vanessav@ci.salinas.ca.us &
chrisc@ci.salinas.ca.us

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